



# PLATINUM SHAUFFMANTZ VERITAS

Reliable And Practical Certification

## PUBLIC SUMMARY REPORT

MS 2530 -3: 2013

Malaysian Sustainable Palm Oil (MSPO)

Part 3: General Principles for oil palm plantations and organised smallholders

---

**NAME OF CLIENT** : HIN LEE ESTATES SDN BHD  
THYE MOH RUBBER ESTATES SDN BHD

**STANDARD** : MS 2530-3:2013

**DATE OF -**

**INITIAL AUDIT STAGE 1** : 25<sup>TH</sup> MAY 2019

**INITIAL AUDIT STAGE 2** : 19<sup>TH</sup> JUNE 2019

---



**PLATINUM SHAUFFMANTZ VERITAS**  
**Management System Certification**  
**Public Summary Report**

**Standard:**  
**MS 2530-3:2013**

**File No.:**  
MASMSPO101082

**Client:**  
**HIN LEE ESTETES SDN BHD**  
**THYE MOH RUBBER**  
**ESTETES SDN BHD**

**Page**  
**1**

<b>Table of Contents</b>	<b>Page</b>
<b>1.0 Audit Process</b>	
1.1 Objective	2
1.2 Scope	2
1.3 Appointment of team members and reviewer	2
1.4 Audit Plan	2
<b>2.0 Methodology</b>	
2.1 Description of the certification unit	3
2.2 Description of the supply base (including planting profile)	3
<b>3.0 Organisation Information</b>	
3.1 Organisation Information / Contact Person(s)	3
3.2 Production volume	3
3.3 Planting Program for Each Estate	3
3.4 Replanting program for each estate	4
3.5 Maps of Company Location, Plantations and Organised Smallholders	4
<b>4.0 Certification Assessment</b>	
4.1 Stage 1 Audit	5
4.1.1 Stage 2 – Certification audit:	5
4.1.2 Status of Non-Conformities Previously Identified	5
4.2 Stakeholders' Consultation	6
4.3 Status of Non-Conformities Previously Identified	6
<b>5.0 Conclusion</b>	
5.1 Detail List of Audit Finding	7
<b>6.0 Recommendation</b>	7
<b>7.0 References (List of Attachments)</b>	
<b>8.0 Distribution / Confidentiality / Rights of ownership / Limitations / Responsibilities</b>	



**PLATINUM SHAUFFMANTZ VERITAS**  
**Management System Certification**  
**Public Summary Report**

**Standard:**  
MS 2530-3:2013

**File No.:**  
MASMSPO101082

**Client:**  
HIN LEE ESTETES SDN BHD  
THYE MOH RUBBER  
ESTETES SDN BHD

**Page**  
2

### 1.0 Audit Process

#### 1.1 Objective

The objectives of this audit are:

- To confirm that the management system conforms with all the requirements of the audit standard
- To confirm that the organisation has effectively implemented the planned management system
- To confirm that the management system is capable of achieving the organisation's policy and objectives.

#### 1.2 Scope of Certification

- Management of Sustainable Oil Palm Plantations from Cultivation, Planting and Production of Fresh Fruit Bunches

#### 1.3 Appointment of team members and reviewer (Qualification of audit team)

#### 1.4 Audit Plan

The audit approach consists of the following steps:

- Contract review;
- Appointment of team members and technical reviewer;
- Contact client for relevant documentation according to the applicable MSPO standards;
- Audit planning;
- Background investigation, desk review of submitted documents;
- On-Site assessment, inspections, interviews with operational personnel, stakeholders and its contractors; review of documentation;
- On-site reporting
- Resolution of non-conformance (NC) (if any)
- Draft audit reporting
- Technical review
- Final audit reporting
- Peer review
- Address Peer Review Comments (if any)
- Final approval and issuance of certificate



**PLATINUM SHAUFFMANTZ VERITAS  
Management System Certification  
Public Summary Report**

**Standard:**  
MS 2530-3:2013

**File No.:**  
MASMSPO101082

**Client:**  
HIN LEE ESTETES SDN BHD  
THYE MOH RUBBER  
ESTETES SDN BHD

**Page**  
3

**2.0 Methodology (Scope of Certification Audit)**

**2.1 Description of the certification unit**

Assessing past implementation from quality records that are required by the standard. (PAPER)

Assessing current implementation from observing current practices. (PRACTICE)

Assessing future maintenance from interviewing personnel on understanding and assessing competencies. (PEOPLE)

**2.2 Description of the supply base**

There are 4 estates as supply base.

**3.0 Organisation Information**

**3.1 Description of the certification unit**

There are 2 units for this zone with total hectarage of 128.35 ha. The unit is represented by 2 estates namely Hin Lee Estate sdn Bhd and Thye Moh Rubber Estate. The breakdown of the estates (unit) is stipulated in para 3.2 below.

**3.2 Description of the supply base (including planting profile)**

Name of Supply Base

Coordinate

Hin Lee Estate Sdn Bhd : 51.89 ha,

5°31'40 N 100° 37'22.1' E

Thye Moh Rubber Estate : 73.46 ha

5°34' 07.0" N, 100° 47' 28.9" E

**Total : 125.35 ha**

**3.3 Production Volume**

**2018 = 1,290.64 MT**

**3.4 Planting Program for each estate :**

Year / estate	2018	2019	2020	2021	2022	2023	2024	2025
NOT APPLICABLE								

**3.5. Replanting program for each estate:**

Year of replanting	Planted area (ha) in each estate								Total area to be replanted (ha)
	2018	2019	2020	2021	2022	2023	2024	2025	
No confirmed plan for replanting.									

**3.6. Maps of Company Location, Plantations and Organised Smallholders**



**PLATINUM SHAUFFMANTZ VERITAS  
Management System Certification  
Public Summary Report**

**Standard:  
MS 2530-3:2013**

**File No.:**  
MASMSPO101082

**Client:**  
HIN LEE ESTETES SDN BHD  
THYE MOH RUBBER  
ESTETES SDN BHD

**Page**  
4

Please see attachments.

#### 4.0 Certification Assessment

##### 4.1 Stage 1 Audit

- The Stage 1 audit was conducted on **25<sup>th</sup> May.2019**.
- The objective of the Stage 1 is to assess the readiness of the documentation established and implemented in accordance to MSPO 2530-2/3:2013.
- According to the Certification Scheme, an initial due-diligence audit for operators which are entering into the MSPO system, and which do not have any certification or those having management system certification e.g. ISO, COP.
- These organizations shall undergo both Stage I and II audit
- The audit team has applied the Malaysian Sustainable Palm Oil Part
- The Stage I audit was conducted on **25<sup>th</sup> May 2019** at **119A, 1st Floor, Macalister Lane, 10400 Georgetown, Pulau Pinang**.covering the following activities:
  - Onsite interviews and inspections;
  - Review of documentation for compliance to relevant P & C requirements for plantation and mill; □ Reporting of issues concern during Stage I audit;

##### 4.1.1. Stage 2 – Certification audit:

- The Stage 2 audit was conducted on **19<sup>th</sup> June.2019**.
- The objective of the Stage 2 is to assess the documentation established and implemented in accordance to MSPO 2530-3 :2013 **(GENERAL PRINCIPLE FOR OIL PALM PLANTATION AND ORGANIZED SMALLHOLDERS)**
- According to the Certification Scheme, an initial due-diligence audit for operators which are entering into the MSPO system, and which do not have any certification or those having management system certification e.g. ISO, COP.
- These organization has done Audit Stage I.
- The audit team has applied the Malaysian Sustainable Palm Oil Part 3.



**PLATINUM SHAUFFMANTZ VERITAS  
Management System Certification  
Public Summary Report**

**Standard:  
MS 2530-3:2013**

**File No.:**  
MASMSPO101082

**Client:**  
HIN LEE ESTETES SDN BHD  
THYE MOH RUBBER  
ESTETES SDN BHD

**Page**  
5

- The Stage I audit was conducted on **25<sup>th</sup> May.2019** and covering the following activities:
  - Onsite interviews and inspection
  - Review of documentation for compliance to Principle and Criteria of MSPO.

**4.2 Stakeholders' Consultation**

4.2.1 A stakeholders' consultation meeting was conducted on 19<sup>th</sup> June.2019 to gather information from the local communities in accordance to Certification Scheme and Stakeholder Consultation requirements.

The topics of discussion are as below:

- a. Introduction
- b. Development of oil palm plantations
- c. Community service and support provided Wildlife management and wildlife corridor
- d. Type of wildlife sighted.
- e. Local communities' development.

4.2.2 The following relevant Principles & Criteria of the applied standard have discussed during the stakeholders' consultation:

Item	Subject discussed	Audit team findings	Company response and proposed action to be taken
1	Relationship between Hin Lee Estates and stakeholders	<p>Interview with:</p> <ol style="list-style-type: none"> <li>1. Yeoh Guan Long (Harvesting, Pruning and FFB transpoting contractor)</li> <li>2. Sim Thong Chin ie Aik Hwa (Fertilizers and Chemical Supplier)</li> <li>3. Tang Neoh Swee (Weeds spraying contractor)</li> <li>4. General workers namely Lim Gek Ling, Mariamah a/p Subramaniam and Harun Bin Che Had.</li> </ol> <p>Found that there was no issue on relationship between Hin Lee Estate and stakeholders (internal &amp; external). Communications are easy as the stakeholders knows who to reach out if needed.</p>	Auditee seem to be very happy with current status of relationship.



**PLATINUM SHAUFFMANTZ VERITAS  
Management System Certification  
Public Summary Report**

**Standard:**  
MS 2530-3:2013

**File No.:**  
MASMSPO101082

**Client:**  
HIN LEE ESTETES SDN BHD  
THYE MOH RUBBER  
ESTETES SDN BHD

**Page**  
6

**4.3. Status of Non-Conformities Previously Identified :**

Kindly tick (/) to the related information / non-conformance

	The stage 1 audit findings of this system have been reviewed, in particular to assure appropriate correction and corrective action HAS implemented to address identified audit findings. Details of the follow up action taken on stage 1 audit findings were recorded in the Stage 1 audit report.
	The last audit results of this system have been reviewed, in particular to assure appropriate correction and corrective action HAS implemented to address any nonconformity identified.
	The last audit results of this system have been reviewed, in particular to assure appropriate correction and corrective action HAS NOT been implemented effectively. Non-conformity will be re-raised.
	Not applicable. No non-conformity raised in previous audit.

*Note 1: If a minor non-conformity raised in last audit, is not closed out, then this finding will be re-raised to a Major nonconformity.*

*Note 2: All minor NCs raise in last audit are required to capture in this report together with the closing of the non-compliance.*

**5.0 Conclusion**

Detail of Audit Findings Identified During This Audit

Audit Outcome	
Major NCR	None
Minor NCR	None
Area of Concern (AOC)	AOC were raised during audit stage 1.
Opportunity for Improvement (OFI)	06
Observation (OBS)	

**6.0 Recommendation**

- The audit team conducted a process-based audit focusing on significant aspects/risks and objectives required by the standard(s). The audit methods used were interviews, observations, sampling of activities and review of documentation and records.
- The structure of the audit was in accordance with the audit plan included in to this summary report.
- The audit team concludes that the organization has established and maintained its management system in line with the requirements of the standard(s) and demonstrated the ability of the system to achieve requirements for products and/or services within the scope and the organization's policy and objectives. Therefore the audit team recommends that, based on the results of this audit and the system's demonstrated state of development and maturity that this management system certification be :

	No NCR recorded. Recommended to continue certification
	Minor NCR(s) recorded. Corrective action plan has been accepted. Verification of the NCR(s) to be carried out in the next audit
	Major NCR(s) recorded. Evidence of implementation of the corrective actions have been provided and accepted by the audit team. The NCR(s) have been satisfactorily closed out.
	Recommended to continue certification
	Major NCR(s) recorded. Evidence of implementation of the corrective actions have been provided but not fully accepted by the audit team. NCR(s) ..... have not been satisfactorily closed out within 60 days of the audit. Recommended for suspension of the certificate.



**PLATINUM SHAUFFMANTZ VERITAS**  
**Management System Certification**  
**Public Summary Report**

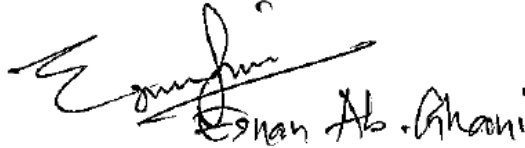
**Standard:**  
MS 2530-3:2013

**File No.:**  
MASMSPO101082

**Client:**  
HIN LEE ESTETES SDN BHD  
THYE MOH RUBBER  
ESTETES SDN BHD

**Page**  
7

Prepared by:



Enan Ab. Anani

19<sup>th</sup> June 2019

\_\_\_\_\_  
-Lead Auditor

\_\_\_\_\_  
Date